

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of
CORBETT-CLARK, T.

Serial No. 10/785,419

Filed: February 25, 2004

For: DATABASE SYSTEM



Atty. Ref.: 117-494

TC/A.U.: 2171

Examiner: TBA

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May 20, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

1. ☒ This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No statement under 37 C.F.R. § 1.97(e) or fee is required. In the event, a first Office Action has been mailed prior to filing of the present Information Disclosure Statement, the Office is requested to treat the present paper s a submission under 37 C.F.R. § 1.97(c) and charge the undersigned's Deposit Account No. 14-1140 for the fee required by 37 C.F.R. § 1.17(p). The present paper is submitted in duplicate for this purpose.

2. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

c. ☐ Attached is our Check No. in the amount of \$ in payment of the fee under 37 C.F.R. § 1.17(p).

3. ☐ This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered. Attached is our Check No. in the amount of \$ in payment of the fee under 37 C.F.R. § 1.17(p).

a. ☐ I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than

three months prior to the filing of this Information Disclosure Statement.

37 C.F.R. § 1.97(e)(1).

- b. ☐ I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

4. ☐ Relevance of the non-English language document(s) is discussed in the present specification.

5. ☒ Some of the listed document(s) was/were cited in corresponding GB Application No. 03045333. An English-language version of the foreign search report is attached for the Examiner's information.

6. ☐ A concise explanation of the relevance of the non-English language document(s) appears below:

7. ☒ The Examiner's attention is directed to co-pending U.S. Patent Application No. 10/296,260, filed December 12, 2003, (U.S. Published Application No. 2004-0025030--copy attached) which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.


It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

CORBETT-CLARK, T.
Serial No. 10/785,419

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 14-1140 referencing docket number 117-494.

Respectfully submitted,

NIXON & VANDERHYE P.C.

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INFORMATION DISCLOSURE
CITATION

(Use several sheets if necessary)

Atty. Docket No.

117-494

Applicant

CORBETT-CLARK, Timothy

Filing Date

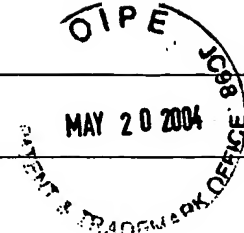
February 25, 2004

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10/785,419

TC/A.U.

2171



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,324,548	11/2001	SORENSEN			
	6,041,420	03/2000	SKARPELOS et al.			
	6,035,398	03/2000	BJORN			
	5,892,904	04/1999	ATKINSON et al.			
	5,836,877	11/1998	ZAVISLAN			
	5,748,738	05/1998	BISBEE et al.			
	5,301,319	04/1994	THURMAN et al.			
	5,208,858	05/1993	VOLLERT et al.			
	5,191,613	03/1993	GRAZIANO et al.			
	2004-0025030	2/2004	CORBETT-CLARK et al.			

FOREIGN PATENT DOCUMENTS

							TRANSLATION	
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
		19829643	01/1999	DE			ABSTRACT	
		1016986 A2	07/2000	EP				
		0999528 A2	05/2000	EP			ABSTRACT	
		0859488 A2	08/1998	EP				
		0752635 A1	01/1997	EP				
		0328232 A2	08/1989	EP				
		7050665	02/1995	JP			ABSTRACT	
		2350529 A	11/2000	UK				
		WO 01/91025 A1	11/2001	WIPO				
		WO 00/31677	06/2000	WIPO				
		WO 00/28452	05/2000	WIPO				
		WO 00/07330	02/2000	WIPO				
		WO 99/63473	12/1999	WIPO				
		WO 99/24938	05/1999	WIPO				
		WO 98/50875	11/1998	WIPO				
		WO 97/12460	04/1997	WIPO				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

	GB Search Report, dated 7/28/2003

*Examiner		Date Considered	
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.